

STATE OF KANSAS

Administration of  
Campaign Finance,  
Conflict of Interest  
& Lobbying Laws



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Topeka, Kansas 66612  
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**GOVERNMENTAL ETHICS COMMISSION**  
<https://ethics.kansas.gov>

Scott Bruce, Lobbyist  
Fidelity Brokerage Services LLC  
245 Summer St  
Boston MA 02210

September 11, 2018

**Notification of Failure to File**  
**the September 10, 2018 Lobbyist Employment and Expenditure Report**

According to the Secretary of State's Office, you have failed to file the Lobbyist Employment and Expenditure Report due **September 10, 2018** (covering the period May, June, July and August of 2018) as required by K.S.A. 46-268. Such report must be completed and filed within five (5) days of the date of receipt of this notice.

Failure to file the report **within two days** will result in the assessment of civil penalties. As provided by K.S.A. 46-280, you have until **September 13, 2018** to comply with the reporting requirements before a civil penalty is imposed. The automatically-imposed civil penalty is \$100 for the first day and \$50 per day thereafter for each day the required report remains unfiled. No civil penalty shall exceed \$1,000. Also, there are criminal penalties for intentionally failing to file. **It is unlawful to lobby when a current report has not been filed or is past due.** Unlawful lobbying is a class B misdemeanor.

Please electronically file the required report within five (5) days on the Secretary of State's website: [www.sos.ks.gov](http://www.sos.ks.gov)

Your prompt attention to this notification is essential. Additional information or assistance may be obtained by writing or calling this office.

Sincerely,

A handwritten signature in cursive script that reads "Jessica White".

Jessica White  
Lobbyist Coordinator