



GOVERNMENTAL ETHICS COMMISSION

www.kansas.gov/ethics

May 16, 2013

Mandy Miller, Lobbyist for
Waddell & Reed Financial Inc
919 S Kansas
Topeka KS 66612

Notification of Failure to File
the May 10, 2013 Lobbyist Employment and Expenditure Report

According to the Secretary of State's Office, you have failed to file the Lobbyist Employment and Expenditure Report due **May 10, 2013** (covering the period April 2013) as required by K.S.A. 46-268. Such report, a blank copy of which is enclosed for your use, must be completed and filed within five (5) days of the date of receipt of this notice, or a civil penalty shall be imposed for each day the required report remains unfiled.

As provided by K.S.A. 46-280, you have **5 days from receiving this notice** to comply with the reporting requirements before a ten dollar (\$10) per day civil penalty is imposed for each day the required report remains unfiled. No civil penalty shall exceed three hundred (\$300). Also, there are criminal penalties for intentionally failing to file. **It is unlawful to lobby when a current report has not been filed or is past due.** Unlawful lobbying is a class B misdemeanor.

Please file the required report within five (5) days with:

Secretary of State
1st Floor, Memorial Hall
120 SW 10th Ave
Topeka, KS 66612

Your prompt attention to this notification is essential. Additional information or assistance may be obtained by writing or calling this office.

Sincerely,

A handwritten signature in black ink, appearing to read "Carol Williams", is written over a circular embossed seal.

Carol Williams
Executive Director