GOVERNMENTAL ETHICS COMMISSION

2011

UAL

PREFACE

This annual report and recommendations is submitted to the Governor and the Legislative Coordinating Council for transmittal to the Legislature pursuant to K.S.A. 25-4119a and K.S.A. 46-1212c. With some exceptions, the report covers the period from July 1, 2010, through June 30, 2011, the end of Fiscal Year 2011. Occasionally, data for the first quarter of Fiscal Year 2012 is used in order to provide a more complete picture of the Commission's operations.

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Mission:

The Governmental Ethics Commission works to foster public trust and confidence in state government decision-making through education, administration and enforcement of the Campaign Finance Act and State Governmental Ethics Laws.

The Kansas Governmental Ethics Commission was established by the Kansas Legislature in 1974 to administer, interpret, and enforce the Campaign Finance Act (K.S.A. 25-4142 *et seq.*) and laws relating to conflict of interests, financial disclosure, and the regulation of lobbying (K.S.A. 46-215 *et seq.*). These laws establish the public's right to information about the financial affairs of Kansas' public officials, lobbyists, and candidates for state and local office. The Commission also renders advisory opinions and can adopt rules and regulations under a less comprehensive conflict of interests law covering local government officials and employees (K.S.A. 75-4301 *et seq.*).

Agency Philosophy:

The Kansas Governmental Ethics Commission believes the strongest safeguard against unethical conduct by public officials and employees is an informed and active public. For the disclosure elements of the legislation to have meaning, the public must be made aware of the legislation and the financial disclosure information must be accessible to the public. To this end, the Commission and its staff will approach their duties with a dedicated sense of purpose and responsibility to the individuals subject to the Campaign Finance Act and State Governmental Ethics Laws and the citizens of Kansas by performing their activities in an efficient and impartial manner.

Commission Members

The Kansas Governmental Ethics Commission is a nine member, bipartisan, citizen commission authorized by K.S.A. 25-4119a. Members serve two year terms with the Commission's Chairman appointed by the Governor. The Vice-Chairman is elected by the membership. Commission appointments are made by the Governor, Attorney General, Secretary of State, Chief Justice of the Supreme Court, President of the Senate, Minority Leader of the Senate, Speaker of the House of Representatives, and the Minority Leader in the House. The Governor makes two appointments, each from a different political party.

The Commission usually meets once a month. The Commission's meetings are open to the public and information prepared by the staff for each meeting is available to the public. During FY 2011, the Commission held 11 meetings. Meetings are scheduled to address a variety of matters including the review of and action on complaints filed, investigations undertaken, and audits performed. In addition, the Commission issues advisory opinions to answer questions involving interpretation of a particular section of the law, it makes policy decisions, amends and adopts new administrative regulations and handles administrative matters including personnel, budget preparations, office procedures, etc.

Commission Staff

The staff of the Commission assumes all responsibility for the daily operations of the agency which include administration, legal, investigative, audit, information technology, and clerical functions. As a service oriented agency, staff strives to assist individuals in complying with applicable laws by responding quickly, efficiently and impartially. Commission staff also works diligently to get campaign finance and lobbying data compiled, entered and posted on the Internet to be viewed by the citizens of Kansas.

Commission Members

Sabrina Standifer, Chairwoman, Democrat, Wichita

Term expires January 31, 2012

Dan Harden, Republican, Meriden

Term expires January 31, 2013

Sue Krische, Republican, Topeka

Term expires January 31, 2013

Carol Foreman, Democrat, Topeka

Term expires January 31, 2012

Glenn Kerbs, Democrat, Dodge City

Term expires January 31, 2012

John Reimer, Republican, Galva

Term expires January 31, 2013

Mark Simpson, Democrat, Lawrence

Term expires January 31, 2013

John Solbach, Democrat, Lawrence

Term expires January 31, 2013

Kyle Krull, Republican, Overland Park

Term expires January 31, 2012

FY 2011 Commission Staff

Executive Director, Carol Williams
Commission & Staff Attorney, Camille Nohe
Local Campaign Finance Director, Jennifer Schneider
IT Specialist, Kelley Cochran
Auditor, Open position
Auditor, Alyssa Miller
Investigator, Bill Beightel
Report Examiner, Karina Renna
Office Manager, Donna Williams
Lobbyist Coordinator, Ruth Pile

Budget

In FY 2011, the Commission was appropriated \$420,616 from the State General Fund with a fee fund limitation of \$263,177. The following chart reflects revenue and expenditures for the fiscal year.

Fiscal Year 2011 Budget	Actual
Revenue:	
State General Fund	\$420,619
Fee Fund Limitation	\$153,116
Total Revenue	\$573,735
Expenditures:	
Salaries & Benefits	\$470,281
Contractual Services	\$ 89,180
Commodities	\$ 9,100
Capital Outlay	\$ 5,174
Total Expenditures	\$573 <i>,</i> 735

Legislative Activity

Several pieces of legislation were enacted by the 2011 Legislature which impact the laws under the jurisdiction of the Commission. K.S.A. 25-4148 was amended to require candidates for state office to file their campaign reports only with the Secretary of State's office. State candidates will no longer have to also file their reports with their county election officer. K.S.A. 25-4186 was amended to permit residual inauguration funds to be donated to any charitable organizations in addition to the Executive Mansion Gift Fund. The Campaign Finance Act was also amended to prohibit candidates or elected officials from appearing in public service announcements beginning 60 days before any primary election and ending after the general election.

Organization & Operation

The Commission's efforts focus on full compliance with the Kansas campaign finance, conflict of interests, and lobbying statutes. Each year the Commission receives thousands of financial disclosure reports filed by candidates, political and party committees, public officials and lobbyists. Staff time is devoted to assuring the accurate and timely disclosure of required financial information about those in state and local government. The processing and evaluation of filed reports and statements and an analysis of the supporting records, where appropriate, are crucial to the Commission's efforts. It is through the initial and comprehensive review of these reports, and the later audit and investigation when necessary, that the Commission can determine compliance with the laws.

The Commission's work program encompasses six areas: (1) education and public awareness; (2) advisory opinions; (3) reviews and audits; (4) investigations; (5) enforcement (including the filing of complaints, holding public hearings and assessing civil penalties); and (6) general administrative activities.

Education & Public Awareness

The Commission's goal remains to improve communications regarding the reporting requirements of those subject to one or more of the disclosure laws, and to increase public

awareness with respect to the impact and importance of information contained in the reports filed. The Commission's website has become an efficient instrument for disseminating and distributing Commission information. Information concerning the Commission, the advisory opinions it issues, scanned copies of state level candidate, party committee and political action committee campaign finance reports, campaign finance and lobbying reporting forms, and campaign election and lobbying statistics can be found at www.kansas.gov/ethics.

The Commission utilizes informational brochures, the Internet, the news media, and speaking engagements to inform not only those under the Commission's jurisdiction, but also the public about the laws and their meaning. Statistical information is summarized and printed, in addition to being posted on the Commission's website, in the areas of campaign finance and lobbying. However, most time in this area is devoted to informing those directly covered by the laws of their duties and responsibilities. To accomplish this task, the Commission conducts informational seminars, prepares and distributes handouts, campaign finance handbooks, lobbying handbooks, and conflict of interests laws brochures. In addition, the telephone is used extensively to provide information and advice. In FY 2011, the Commission's staff made 29 presentations. Nineteen speeches were made to state boards and employees of various state agencies, 1 campaign finance seminar was given, 2 presentations were made to state legislators, 5 speeches were given to civic organizations and 2 lobbyists seminars were held.

Advisory Opinions

Advisory opinions are issued by the Commission to clarify the application of the statutes in a particular situation. The Commission can issue these opinions on its own initiative or in response to individual inquiries. If an individual requests an opinion and conducts him or herself according to the guidelines in the opinion, he or she is presumed to be in compliance with the law.

Six opinions were issued in FY 2011. All six opinions were issued in response to inquiries by individuals. Since 1974, the year the Commission was established, through June 30, 2011, the Commission has issued a total of 1,209 advisory opinions. A synopsis of each advisory opinion issued in FY 2011 can be found at the conclusion of this report.

FY 2010 Advisory Opinions

Campaign Finance – 0
Lobbying – 0
State Conflict of Interests – 4
Local Conflict of Interests - 2

Review & Audit Program

Complete, accurate and timely disclosure of certain kinds of financial information by candidates, elected officials, state employees and lobbyists is the key requirement of the legislation. It is the Commission's position that active review and auditing of reports is essential for the proper administration of the law.

CAMPAIGN FINANCE

In the area of campaign finance, the Commission's procedures include a preliminary review and post-election comprehensive desk review of all receipts and expenditures reports filed. In addition, a certain number of campaigns and committees are selected for field audits.

Candidates and political committees filed 2,863 receipts and expenditures reports in FY 2011, an election year. All of these receipts and expenditures reports received a comprehensive desk review.

Three hundred eighty-seven state candidates, 318 county candidates, 215 city candidates, 245 political action committees, and 184 party committees were active in FY 2011.

Kansas candidates, political action and party committees were required to file Reports of Last Minutes Contributions and Independent Expenditures during the 2010 election cycle. The disclosure of these contributions and independent expenditures made right before the primary and general election add a new level of transparency to the electoral process. There were 96 candidates filing last minute reports five days before the primary election showing 231 contributors donating \$144,973. Thirteen political action committees and 3 party committees filed these last minute reports disclosing \$78,274 in contributions and \$14,604 in independent expenditures made by these committees up to two days before the primary election. Prior to the general election, 67 candidates filed last minute contribution reports showing 383 contributors donating \$319,430. Twenty political action committees and 24 party committees filed reports disclosing \$615,791 in last minute contributions made by these committees and \$567,391 in last minute independent expenditures made by these committees. Prior to 2008, these contributions and independent expenditures would not have been disclosed until well after the primary and general elections.

The Commission has compiled statistical summaries of the 2010 election year contributions and expenditures for Statewide and House of Representative candidates. Charts and graphs of the campaign finance activity can be found at the end of this report.

Statewide Campaigns

There were 18 Statewide candidates. There were 5 contested general election races in the 2010 election.

Statewide candidates received a total of \$8,046,923 in monetary and in-kind contributions. Statewide candidates received their largest percentage of itemized monetary contributions from individuals (59%), with businesses, corporations and unions as well as out-of-state organizations making up the second largest source of campaign contributions (13%). Out-of-state organizations contributed \$1,004,661 to Statewide candidates.

An analysis of the expenditures made by Statewide candidates in the 2010 election year reflects a total of \$7,127,304 was spent on their races. As in past election years, Statewide candidates expended the largest amount of their funds on radio & television advertising (42%).

House of Representative Campaigns

There were 262 House of Representative candidates. There were 74 contested and 51 uncontested general election races in the 2010 election.

State House candidates received a total of \$5,474,780 in monetary and in-kind contributions. House candidates received their largest percentage of itemized monetary contributions from political action committees (34%) with individuals (23%) making up the second largest source of campaign contributions. Out-of-state organizations contributed \$967,267 (19%) to House candidates.

Expenditures made by House candidates in the 2010 election year totaled \$5,061,550. As in past election years, House candidates expended the largest amount of their funds on the printing and distribution of campaign literature (29%).

State Senate Campaigns

There were special elections held for two Senate seats in 2010. Five candidates vied for the two seats. These Senate candidates received a total of \$354,462.77 in monetary and inkind contributions and made expenditures totaling \$272,323.03 during the election cycle.

Audits

Audits of the records of candidates and political action committees are conducted using generally accepted auditing standards and are conducted on a priority basis. Accorded first priority are situations involving formal complaints. The next priority is assigned to situations in which it is necessary to clarify problems identified during the desk reviews. A general investigation may also be authorized at this point.

Finally, a random sample of candidates and committees is audited. If a candidate is selected for a random audit, his or her opponent is also examined. In FY 2011, 5 audits were conducted. Even numbered fiscal years are traditionally when most field audits are conducted.

FY 2011 Campaign Finance Statistics

- Candidates for 2010 State Office 387
- Carryover State Candidates 161
- Candidates for 2010 County Office 318
- Carryover County Candidates 81
- Candidates for 2010 First Class City Office 215
- Carryover First Class City Candidates 117
- Political Action Committees 245
- Party Committees 184
- Campaign Finance Reports Filed 2,863
- Failure to File Notices Issued 149
- Error or Omission Notifications Issued 403

CONFLICT OF INTERESTS

The Kansas conflict of interests statutes provide for (1) the filing of statements of substantial interests; (2) a code of conduct making it illegal for state officials and employees to be involved in certain conflicts; and (3) the issuance of advisory opinions.

Each year, the Commission's staff processes approximately 6,000 to 6,500 state officer and employee financial disclosure statements. Computer systems are used to create and maintain lists of state officers and employees subject to the disclosure requirements.

Throughout the year, staff updates computer databases as appointments are made, terms end, officials resign, and new positions are created. Financial disclosure forms and instructions are mailed to new appointees and candidates as the Commission receives official notice of their appointment or eligibility. Thereafter, forms and instructions are mailed on an annual basis to all persons subject to filing requirements. All financial disclosure statements are public records and may be reviewed during regular business hours in the Secretary of State's office or on the Secretary of State's website. Those required to file Statements of Substantial Interests are elected state officials and candidates for such office, individuals whose appointments are subject to confirmation by the Senate, general counsels for state agencies, and state officers, employees, and members of boards, councils or commissions meeting the definition of a "designee" and so listed by the head of their agency. There were 6,096 individuals required to file statements in FY 2011.

2011 Statements of Substantial Interests Filings

- Employees Listed as Designees 5325
- Elected Officials 177
- State Candidates 0
- Appointees Subject to Senate Confirmation 91
- Board Members Listed as Designees 471
- General Counsels 32

The Commission has found that the conflict statutes are not widely understood either by state officers, state employees or the public at large, yet these laws are of fundamental importance to the workings of state government. They draw the line between private interests and the public trust which must be guarded carefully. Efforts to clarify and enforce the line are increasingly important as public concern mounts over abuses of the public trust.

Many state officials and employees are in a position to make or influence decisions which could directly affect their personal interests. The state conflict of interests laws prohibit such activity. To assist these individuals, the Commission issues advisory opinions upon its own initiative and upon the request of any person to whom the relevant law applies. In FY 2011, the Commission issued 4 opinions to state officers and employees concerning their positions, personal interests and how the conflict laws applied to them.

REPRESENTATION CASE DISCLOSURE

There were three Representation Case Disclosure Statements filed in FY 2011. It is possible that other individuals required to file such statements have not done so. However, given the structure of the statutory requirements, there is no way of knowing who should file such statements.

LOBBYING PROVISIONS

There are 562 lobbyists registered for calendar year 2011 as of September 1, 2011. This compares with the total of 550 registered lobbyists in 2010. Of the 562 registered, some are registered on behalf of more than one person or organization. To date, 1,539 organizations or persons have been represented this year. This compares to 1,505 organizations being represented by a lobbyist in 2010. The Commission's statistical analysis of the lobbyist employment and expenditures reports filed shows that \$648,306 has been spent on lobbying activities through August 31, 2011. Registered lobbyists are required to file a lobbyist employment and expenditures report six times a year. These reports show expenditures if the lobbyist spent more than \$100 in a reporting period. To date this calendar year, 5,209 Lobbyist Employment and Expenditures Reports have been filed. A lobbyist can file an Affidavit of Exemption from filing the Lobbyist Employment and Expenditures Report if he or she does not expend in excess of \$100 in any reporting period.

Lobbyist Registrations and Expenditures

To Date for Calendar Year 2011

- Number of Registered Lobbyists 562
- Number of Lobbyist Registration Statements Filed 1,539
- Number of Reports Filed by Lobbyists 5,209
- Number of Affidavits of Exemption Filed 536
- Total of Expenditures for Year to Date \$648,306
- Past Due Notices Mailed 53
- Number of Failure to File Notices Issued 117

Investigations

In FY 2011, the Commission initiated 22 inquiries and conducted 7 investigations. Investigations can be conducted prior to a complaint being filed or following the filing of a complaint. Inquiries and investigations remain confidential by the Commission and staff of the Commission until a complaint has been filed and a probable cause determination has been made regarding the complaint. Whenever an investigation does not disclose facts sufficient to warrant further action, the Commission may issue a report concerning the findings of the Commission to the person or persons investigated. This report can be made public by the person or persons investigated.

Enforcement Program

There were 28 complaints filed in FY 2011. Of these, 26 complaints were filed for campaign finance violations and 2 for ethics law violations. Twenty-seven of the complaints were dismissed on the basis that there was insufficient evidence to support a probable cause determination. One complaint went to public hearing. It should be understood that after an investigation, if the Commission concludes there is no evidence to establish probable cause that there was an intentional violation, a complaint is dismissed and no public hearing is held. Anyone who suspects that any of the provisions administered by the Commission have been violated may file a complaint in writing with the Commission.

CIVIL PENALTIES & FINES

The statutes enforced by the Commission provide for the assessment of civil penalties for failure to file certain reports or statements under the campaign finance, lobbying and state conflict of interests statutes. Individuals can be subject to a \$10 per day penalty for each day the report or statement remains unfiled up to a maximum of \$300. The Commission is authorized to waive any imposed civil penalty, upon a finding of good cause. There were \$11,300 in civil penalties assessed in FY 2011. The Commission collected \$3,407 in civil penalties and waived \$1,670 in civil penalties. Many civil penalties assessed in FY 2011 remain outstanding.

In addition to any other penalty prescribed under the campaign finance, lobbying or state conflict of interests statutes, the Commission can assess a civil fine not to exceed \$5,000 for the first violation, \$10,000 for the second violation and \$15,000 for the third and each subsequent violation. Before a civil fine can be assessed, the person must be given proper notice and an opportunity to be heard. The Commission conducted two civil fine hearings in FY 2011. Civil fines in the amount of \$4,350 were assessed and \$4,350 collected in FY 2011.

FY 2011 Civil Penalties and Fines

Campaign Finance Civil Penalties Assessed - \$7,460

Lobbying Civil Penalties Assessed – \$3,460

Statement of Substantial Interests Civil Penalties Assessed - \$380

Total Civil Penalties Assessed – \$11,300

Total Civil Penalties Waived - \$1,670

Total Civil Penalties Collected - \$3,407

Total Civil Fines Assessed – \$4,350

Total Civil Fines Collected - \$4,350

Commission Recommendations

The Commission is directed by statute to make recommendations to the Governor and Legislature. It recognizes that any major piece of legislation periodically needs revision, modification, and in some cases, major changes. To that end, the Commission makes the following recommendation:

- 1) The Commission's fee fund is rapidly being depleted. Fees paid by candidates, political action committees, and lobbyists must be increased to generate more revenue for the agency's fee fund. Registration fees have not been increased for 11 years. Due to the State's financial difficulties, the Commission's fee fund has been used to make up the shortage of General Fund appropriations to fund the agency's current level of operations for many years. The carryover fee funds for FY 2011 were \$422,042. The fee fund is projected to provide \$255,975 in funding for FY 2012 and \$269,566 in FY 2013. Fee funds projected to be carried forward to FY 2014 will be \$198,146. By the end of FY 2014, the Commission's fee fund will be depleted and the agency will have to rely solely on State General Fund appropriations to fund the agency's operations. To keep the fee fund viable, an increase in fees will be required.
- 2) The statutes regarding solicitation and acceptance of gifts by state officers and employees are inconsistent. K.S.A. 46-236 (solicitation) coupled with K.S.A. 46-237 (acceptance) applies to all state officers and employees. These two statutes are inconsistent with K.S.A. 46-237a (solicitation and acceptance) which applies to state officers and employees in the executive branch of state government. The Commission believes the inconsistencies in these three statutes should be reconciled to prevent confusion for any person trying to determine what is prohibited and permitted for executive branch employees.
- 3) K.S.A. 46-221 defines a state officer or employee to mean "...(4) any individual who receives monthly or semimonthly compensation for services from the state or state agency. Current law defines any member of a state board, commission or council who receives compensation to be a state officer or employee subject to the provisions of the State Governmental Ethics laws. It has recently come to the Commission's attention that some boards that are entitled by statute to pay their board members, do not do so. Therefore, under the current definition of state officer or employee, these board members do not fall under the provisions of the State Governmental Ethics laws because they do not receive compensation. The Commission believes that K.S.A. 46-221 should be amended to state that any individual who receives, or is entitled to receive, monthly or semimonthly compensation for services from the state or a state agency, be defined as a state officer or employee. This would bring these board, commission or council members under the jurisdiction of the ethics laws.

Appendix I

SUMMARY OF ADVISORY OPINIONS

ISSUED IN

FY 2011

OPINION NO. 2010-06 - Issued November 16, 2010

County Commissioners may participate in discussions about and vote on a Special Use Permit which may affect business interests after filing their respective Statements of Substantial Interests.

OPINION NO. 2011-01 - Issued March 23, 2011

A state officer as defined by K.S.A. 46-221 is prohibited from accepting an honorarium pursuant to K.S.A. 46-237(f).

OPINION NO. 2011-02 - Issued April 11, 2011

A retired state officer, who did not participate in the making of a contract with the business offering her a job, can accept employment.

OPINION NO. 2011-03 - Issued April 14, 2011

The company of a State Senator may perform contractual services for a 501(c)(3) organization so long as the contract is not being awarded conditioned upon action to be taken by the State Senator and/or the major purpose of the organization in offering the position is not to influence the Senator in performance of her official duties.

OPINION NO. 2011-04 - Issued May 18, 2011

City Council members may participate in discussions about and vote on ordinances affecting their business interests and city employees may act upon building permit applications which may affect their business interests after filing a Statement of Substantial Interests.

OPINION NO. 2011-05 - Issued June 2, 2011

A Homestead Affordable Housing (HAH) employee who formerly worked for the Kansas Housing Resources Corporation (KHRC), may participate in the making of new contracts or grants with KHRC. The HAH employee is prohibited only from working on contracts or grants she participated in making as a KHRC employee.

Appendix II

STATISTICAL COMPARISON OF LOBBYING EXPENDITURES

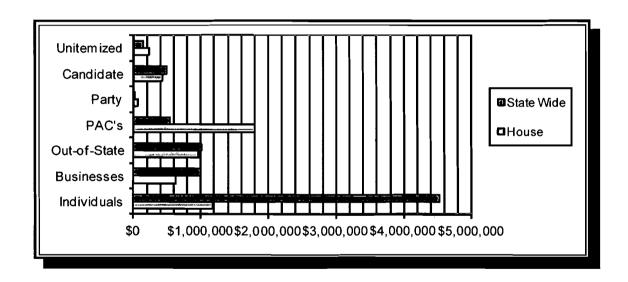
2001 - 2011

		SU	MMARY OF LO		ISTRATIONS 1-2011	AND EXP	ENDITURES		
Year to Date	Number of Lobbyists/ Registrations		Food & Beverage	Recreation	Entertainment Gifts Honoraria and Payments	Mass Media	Communications	Other	Total
2001	583	1378	367,343.10	12,408.11	26,320.26	18,842.30	41,418.57	28,086.85	494,419.1
2002	558	1329	335,366.13	13,294.82	21,975.31	5,325.88	79,886.09	16,693.73	472,541.9
2003	587	1396	369,114.67	15,844.26	20,693.29	33,954.74	33,800.07	14,099.33	487,506.3
2004	574	1387	328,854.76	15,382.55	24,974.60	108,783.10	110,322.63	5,656.65	593,974.2
2005	561	1287	463,164.76	11,508.06	22,007.16	24,548.51	24,578.31	14,398.57	560,205.3
2006	554	1376	429,510.28	13,260.92	21,821.84	412,395.48	54,433.56	7,322.64	938,744.7
2007	556	1401	505,234.60	12,197.14	19,805.07	573,045.29	39,101.18	20,689.72	1,170,073.0
2008	592	1476	466,685.50	16,705.96	14,644.60	553,355.97	262,018.80	17,841.46	1,331,252.2
2009	582	1513	425,089.20	14,796.49	12,967.70	259,965.44	437,997.76	24,998.41	1,175,815.0
2010	550	1505	416,821.44	18,638.69	15,395.05	632,498.95	306,451.25	21,063.22	1,410,868.6
2011 YTD	562	1539	426,868.24	8,265.53	15,393.41	165,945.29	14,439.92	17,991.77	648,904.1

KANSAS GOVERNMENTAL ETHICS COMMISSION

2010 ELECTION YEAR CAMPAIGN FINANCE STATISTICS

STATISTICAL SUMMARY FOR THE 2010 ELECTION CYCLE CAMPAIGN FINANCE DATA FOR HOUSE & STATE WIDE CANDIDATES



Kansas Governmental Ethics Commission

109 SW 9th, Suite 504

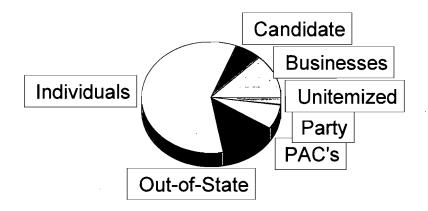
Topeka, Kansas 66612

(785)296-4219

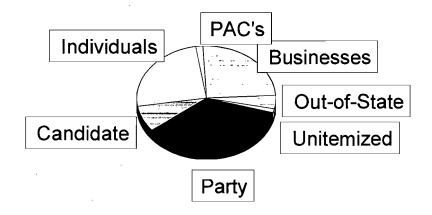
Page	Contents
2.	Pie Chart of State Wide Candidates Campaign Finance Data
3.	State Wide Candidates Monetary Contributions Chart
4.	State Wide Candidates In-Kind Contributions Chart
5.	State Wide Candidates Expenditures Chart
6.	Bar Chart of Twenty Year Comparison of State Wide Monetary Contributions
7.	Twenty Year Comparison Chart of State Wide Monetary Contributions
8.	Pie Chart of House Candidates Campaign Finance Data
9.	House Candidates Monetary Contributions Chart
10.	House Candidates In-Kind Contributions Chart
11.	House Candidates Expenditures Chart
12.	Bar Chart of Twenty Year Comparison of House Monetary Contributions
13.	Twenty Year Comparison Chart of House Monetary Contributions

2010 STATE WIDE CANDIDATES

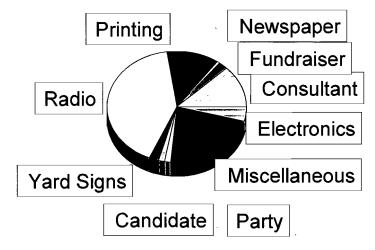
Monetary Contributions



In-Kind Contributions



Expenditures



2010 ELECTION CYCLE MONETARY CONTRIBUTIONS TO STATE WIDE CANDIDATES

		CANDIDATE										
TYPE OF CONTRIBUTOR	Total Amount	% of Total Contributions	Incumbent [*]	Non-Incumbent	Democratic Incumbent	Democratic Non-Incumbent	Republican Incumbent	Republican Non-Incumbent	Libertarian & Reform			
Businesses, Corporations, Unions	\$999,282	13%	\$288,191	\$711,091	\$249,691	\$52,124	\$38,500	\$658,267	\$700			
Candidate's/Spouse's Personal Funds	\$492,472	. 6%	\$485	\$491,987	\$100	\$107,050	\$385	\$384,937	\$0			
Individuals	\$4,518,914	59%	* \$1,030,325	\$3,488,589	. \$939,727	\$745,878	\$90,598	\$2,738,161	\$4,550			
Out-of-State Organizations	\$1,004,661	13%	\$283,550	\$721,111	\$232,600	\$69,700	\$50,950	\$651,411	\$0			
Political Action Committees	\$537,019	6%	\$197,583	\$339,436	\$148,258	\$98,532	\$49,325	\$240,904	\$0			
Party Committees	\$18,948	1%	\$3,513	\$15,435	\$3,330	\$7,952	\$213	\$7,483	\$0			
Unitemized & Miscellaneous	\$143,243	2%	\$15,428	\$127,815	\$14,523	\$30,104	\$905	\$96,721	\$990			
Total Contributions	\$7,714,539	100%	\$1,819,075	\$5,895,464	\$1,588,199	\$1,111,340	\$230,876	\$4,777,884	\$6,240			
W						' 0	,					

	Won	5	1	4	0	. 0	1	4	0
Number of Candidates	Lost	<u>13</u>	2	<u>11</u>	<u>2</u>	<u>3</u>	<u>0</u>	<u>5</u>	<u>3</u>
	Total	18	3	- 15	2	3	1	9	3

2010 ELECTION CYCLE IN-KIND CONTRIBUTIONS TO STATE WIDE CANDIDATES

	CANDIDATE											
TYPE OF CONTRIBUTOR	Total Amount	% of Total Contributions	Incumbent	Non-Incumbent	Democratic Incumbent	Democratic Non-Incumbent	Republican Incumbent	Republican Non-Incumbent	Libertarian & Reform			
Businesses, Corporations, Unions	\$83,126	26%	\$5,405	\$77,721	\$4,278	\$451	\$1,127	\$77,270	\$0			
Candidate's/Spouse's Personal Funds	\$27,247	8%	\$0	\$27,247	\$0	\$0	\$0	\$21,481	\$5,767			
Individuals	\$83,261	26%	\$12,967	\$70,295	\$8,709	\$12,215	\$4,258	\$58,032	\$48			
Out-of-State Organizations	\$13,106	3%	\$2,962	\$10,144	\$323	\$105	\$2,639	\$10,039	\$0			
Political Action Committees	\$5,658	1%	\$2,015	\$3,643	\$2,015	\$564	\$0	\$3,079	\$0			
Party Committees	\$116,055	35%	\$833	\$115,222	\$0	\$601	\$833	\$114,121	\$500			
Unitemized & Miscellaneous	\$3,931	1%	\$0	\$3,931	. \$0	\$204	. \$0	* \$3,725	\$0			
Total Contributions	\$332,384	100%	\$24,182	\$308,203	\$15,325	\$14,140	\$8,857	\$287,747	\$6,315			

Wo	on on	5	1	4	0	0	1	4	0
Number of Candidates Lo	ost	<u>13</u>	<u>2</u>	<u>11</u>	<u>2</u>	<u>3</u>	<u>0</u>	<u>5</u>	<u>3</u>
. To	otal	18	3	15	2	_ 3	1	9	3

2010 ELECTION CYCLE EXPENDITURES FOR STATE WIDE CANDIDATES

	CANDIDATE											
TYPE OF EXPENDITURE	Total Amount	% of Total Expenditure	Incumbent	Non-Incumbent	Democratic Incumbent	Democratic Non-Incumbent	Republican Incumbent	Republican Non-Incumbent	Libertarian &			
Consultant	\$889,481	12%	\$121,265	\$768,216	\$100,497	\$217,006	\$20,768	\$551,210	\$0			
Fundraiser	\$154,354	2%	\$28,112	\$126,242	\$9,464	\$16,531	\$18,648	\$109,711	\$0			
Newspaper Advertising	\$33,158	1%	\$9,849	\$23,309	\$8,474	\$0	\$1,375	\$23,309	\$0			
Printing, Postage and Distribution	\$873,608	12%	\$84,086	\$789,522	\$73,490	\$168,517	\$10,596	\$620,211	\$794			
Radio and Television Advertising	\$2,958,140	42%	\$1,199,041	\$1,759,099	\$1,075,145	\$196,794	\$123,896	\$1,559,156	\$3,149			
Yard Signs, Bumper Stickers, etc	\$157,851	2%	\$25,102	\$132,749	\$24,905	\$6,982	\$197	\$124,597	\$1,170			
Reimbursement to Candidate	\$86,343	1%	\$23,592	\$62,751	\$23,592	\$54,189	\$0	\$8,562	\$0			
Contribution to Party Committee	\$112,421	2%	\$50,577	\$61,844	\$50,477	\$14,400	\$100	\$47,444	\$0			
Electronics	\$277,259	4%	\$51,583	\$225,676	\$48,016	\$43,882	\$3,567	\$181,294	\$500			
Miscellaneous	\$1,584,689	22%	\$187,246	\$1,397,443	\$159,667	\$393,133	\$27,579	\$1,003,742	\$568			
Total Expenditures	\$7,127,304	100%	\$1,780,453	\$5,346,851	\$1,573,727	\$1,111,434	\$206,726	\$4,229,236	\$6,181			

	Won	5 .	1	4	0	0	1	4	0
Number of Candidates	Lost	<u>13</u>	<u>2</u>	<u>11</u>	<u>2</u>	<u>3</u>	<u>0</u>	<u>5</u>	<u>3</u>
	Total	18	3	15	2	3	1	9	3

20 YEAR COMPARISON OF STATE WIDE MONETARY CONTRIBUTIONS \$8,000,000 \$7,000,000 \$6,000,000 \$5,000,000 \$4,000,000 \$3,000,000 \$2,000,000 \$1,000,000 1990 1994 1998 2002 2006 2010 Individual PAC's **Business** Out-of-State Candidate Party Comm.

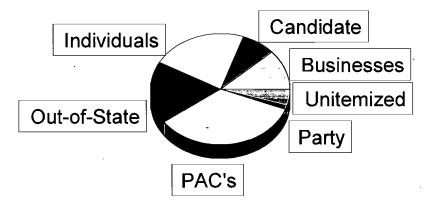
Unitemized

TWENTY YEAR COMPARISON OF MONETARY CONTRIBUTIONS REPORTED BY CANDIDATES FOR STATE WIDE OFFICE BY TYPE OF CONTRIBUTOR

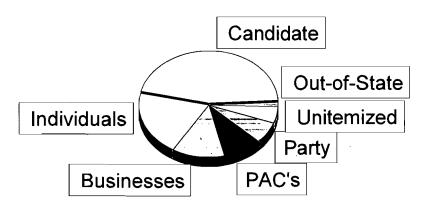
TYPE OF CONTRIBUTOR	1990	1994	1998	2002	2006	2010
Businesses, Corporations, Unions	\$1,379,281	\$1,275,023	\$770,192	\$1,813,608	\$2,083,434	\$999,282
Candidate / Spouse's Personal Funds	\$663,104	\$1,984,859	\$42,103	\$440,803	\$344,764	\$492,472
Individuals	\$3,800,245	\$5,204,231	\$2,320,847	\$6,249,275	\$7,878,367	. \$4,518,914
Out-of-State Organizations	\$759,177	\$565,144	\$334,860	\$808,477	\$1,520,771	\$1,004,661
Political Action Committees	\$275,566	\$343,980	\$303,230	\$559,450	\$573,829	\$537,019
Party Committees	\$97,906	\$93,334	\$176,000	\$1,817,747	\$638,213	\$18,948
Unitemized and Miscellaneous	\$297,593	\$281,043	\$146,178	\$522,496	\$148,663	\$143,243
TOTAL CONTRIBUTIONS	\$7,272,872	\$9,746,614	\$5,075,490	\$12,211,856	\$13,187,041	\$7,714,539

2010 HOUSE CANDIDATES

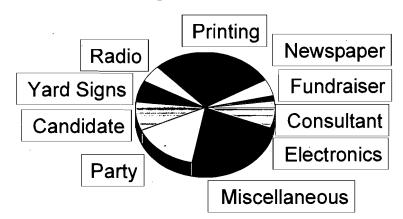
Monetary Contributions



In-Kind Contributions



Expenditures



2010 ELECTION CYCLE MONETARY CONTRIBUTIONS TO HOUSE CANDIDATES

		CANDIDATE											
TYPE OF CONTRIBUTOR	Total Amount	% of Total Contributions	Incumbent	Non-Incumbent	Democratic Incumbent	Democratic Non-Incumbent	Republican Incumbent	Republican Non-Incumbent	Libertarian, Write-in, Independent &Reform				
Businesses, Corporations, Unions	\$627,271	11%	\$399,674	\$227,598	\$134,309	\$61,567	\$265,365	\$165,531	\$500				
Candidate's/Spouse's Personal Funds	\$425,717	8%	\$149,803	\$275,914	\$23,150	\$88,322	\$126,653	\$187,042	\$550				
Individuals	\$1,173,206	23%	\$426,018	\$746,188	\$162,355	\$313,212	\$263,663	\$431,376	\$1,599				
Out-of-State Organizations	\$967,267	19%	\$694,542	\$272,725	\$240,195	\$134,775	\$545,347	\$137,700	\$250				
Political Action Committees	\$1,805,668	34%	\$1,202,000	\$603,668	\$430,025	\$226,420	\$771,975	\$376,398	\$850				
Party Committees	\$62,980	1%	\$9,800	\$53,180	\$6,250	\$17,640	\$3,550	\$29,540	\$6,000				
Unitemized & Miscellaneous	\$237,608	4%	\$156,032	\$81,536	\$75,453	\$47,699	\$80,579	\$33,394	\$442				
Total Contributions	\$5,299,717	100%	\$3,037,869	\$2,260,809	\$1,071,737	\$889,635	\$1,966,132	\$1,360,981	\$10,191				

Number of Candidates	Won	125	81 .	44	27	6	54	38	0
	Lost	137	14	. 123	8	49	6	57	17
	Total	262	95	167	35	55	60	95	17

2010 ELECTION CYCLE IN-KIND CONTRIBUTIONS TO HOUSE CANDIDATES

	CANDIDATE											
TYPE OF CONTRIBUTOR	Total Amount	% of Total Contributions	Incumbent	Non-Incumbent	Democratic Incumbent	Democratic Non-Incumbent	Republican Incumbent	Republican Non-Incumbent	Libertarian & Reform			
Businesses, Corporations, Unions	\$21,321	13%	\$7,273	\$14,048	\$3,739	\$4,576	\$3,534	\$9,472	\$0			
Candidate's/Spouse's Personal Funds	\$79,861	46%	\$11,398	\$68,462	\$4,852	\$21,201	\$6,547	\$46,544	\$718			
Individuals	\$35,038	21%	\$7,434	\$27,482	\$2,616	\$12,868	\$4,818	\$14,614	\$0			
Out-of-State Organizations	\$4,212	1%	\$1,749	\$663	\$1,041	\$188	\$708	\$475	\$0			
Political Action Committees	\$16,215	9%	\$9,847	\$6,368	\$9,624	\$6,127	\$223	\$242	\$0			
Party Committees	\$11,733	6%	\$8,693	\$3,039	\$1,528	\$849	\$7,165	\$2,190	\$0			
Unitemized & Miscellaneous	\$8,483	4%	\$1,278	\$7,159	\$743	\$3,706	\$536	\$3,098	\$354			
Total Contributions	\$175,063	100%	\$47,672	\$127,221	\$24,143	\$49,515	\$23,531	\$76,635	\$1,072			

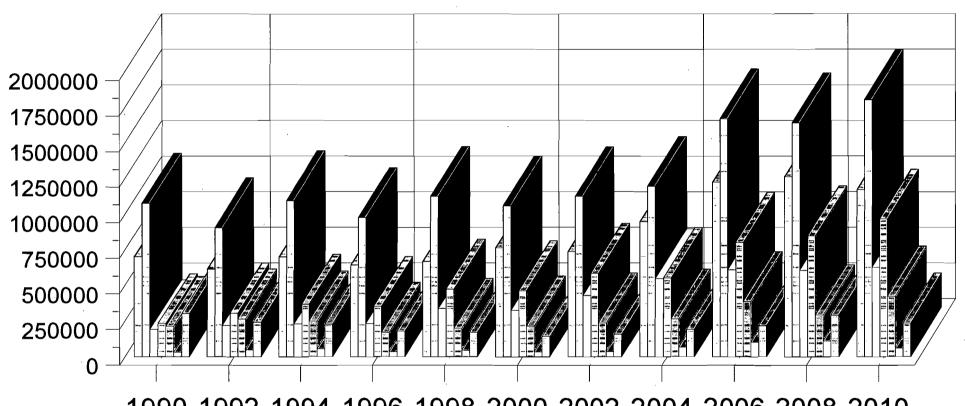
Number of Candidates	Won .	125	81	44	27 .	6	54	38	0
	Lost	137	14	123	8	49	6	57	17
	Total	262	95	167	35	55	60	95	17

2010 ELECTION CYCLE EXPENDITURES FOR HOUSE CANDIDATES

	CANDIDATE											
TYPE OF EXPENDITURE	Total Amount	% of Total Expenditure	Incumbent	Non-Incumbent	Democratic Incumbent	Democratic Non-Incumbent	Republican Incumbent	Republican Non-Incumbent	Libertarian &			
Consultant	\$105,708	2%	\$65,279	\$40,429	\$13,801	\$24,765	\$51,478	\$15,664	\$0			
Fund Raiser	\$84,023	1%	\$53,433	\$30,550	\$28,562	\$10,617	\$24,871	\$19,933	\$0			
Newspaper Advertising	\$242,329	4%	\$143,267	\$99,062	\$71,242	\$26,999	\$72,025	\$72,063	\$0			
Printing, Postage and Distribution	\$1,442,818	29%	\$719,331	\$723,487	\$230,641	\$281,790	\$488,690	\$435,545	\$6,152			
Radio and Television Advertising	\$249,670	5%	\$168,610	\$81,060	\$79,026	\$29,003	\$89,584	\$50,360	\$1,697			
Yard Signs, Bumper Stickers, etc	\$327,773	7%	\$120,819	\$206,614	\$49,964	\$59,119	\$70,855	\$147,294	\$201			
Reimbursement to Candidate	\$418,257	8%	\$230,232	\$188,025	\$65,868	\$79,381	\$164,364	\$107,516	\$1,128			
Contribution to Party Committee	\$778,966	15%	\$501,358	\$277,608	\$307,813	\$215,611	\$193,545	\$61,204	· \$793			
Electronics	\$294,007	6%	\$220,728	\$72,852	\$78,188	\$28,535	\$142,540	\$44,312	\$5			
Miscellaneous	\$1,117,999	23%	\$833,335	\$284,431	\$256,416	\$103,297	\$576,919	\$18,984	\$150			
Total Expenditures	\$5,061,550	100%	\$3,056,392	\$2,004,118	\$1,181,521	\$859,117	\$1,874,871	\$1,134,875	\$10,126			

Number of Candidates	Won	125	81	44	27	6	54	38	0
	Lost	137	14	123	8	49	6	57	17
	Total	262	95_	167	35	55	60	95	17

20 YEAR COMPARISON OF HOUSE MONETARY CONTRIBUTIONS



1990 1992 1994 1996 1998 2000 2002 2004 2006 2008 2010

 Individual		PAC's	Business
Out-of-State	2::30::00::00::00::00	Candidate	Party Comm.
Unitemized			

TWENTY YEAR COMPARISON OF MONETARY CONTRIBUTIONS REPORTED BY CANDIDATES FOR THE KANSAS HOUSE BY TYPE OF CONTRIBUTOR

TYPE OF CONTRIBUTOR	1990	1992	1994	1996	1998	2000	2002	2004	2006	2008	2010
Businesses, Corporations, Unions	\$191,850	\$220,222	\$230,945	\$233,804	\$338,480	\$327,371	\$430,658	\$549,114	\$612,578	\$606,792	\$627,271
Candidate/Spouse's Personal Funds	213,862	266,611	251,714	172,377	200,359	217,384	237,652	266,769	388,708	294,257	425,717
Individuals	702,393	616,816	702,531	645,497	671,801	765,963	738,015	947,954	1,227,744	1,265,237	1,173,206
Out-of-State Organizations	234,200	306,180	369,400	354,228	476,847	464,459	585,244	554,157	803,342	849,995	967,267
Political Action Committees	1,079,455	905,575	1,095,686	977,398	1,127,339	1,060,703	1,126,252	1,199,350	1,671,470	1,642,823	1,805,668
Party Committees	31,683	50,540	57,116	37,092	47,611	35,486	38,135	68,750	103,268	111,658	62,980
Unitemized & Miscellaneous	302,848	242,892	230,244	180,022	173,963	142,874	159,314	192,015	219,867	292,623	237,608
TOTAL CONTRIBUTIONS	\$2,756,291	\$2,608,836	\$2,937,636	\$2,600,418	\$3,036,400	\$3,014,940	\$3,315,270	\$3,778,109	\$5,026,977	\$5,063,386	\$5,299,717