Administration of Campaign Finance, Conflict of Interest & Lobbying Laws



901 S. Kansas Avenue Topeka, Kansas 66612 (785) 296-4219 (phone) (785) 296-2548 (fax)

GOVERNMENTAL ETHICS COMMISSION

https://ethics.kansas.gov

Pamela Jones Treasurer for Ronald Ellis 110 Vista View Court Ozawkie, KS 66070 August 31, 2016

Notification of Material Error or Omission In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due July 25, 2016 (Covering the Period January 1, 2016 thru July 21, 2016)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) <u>listed on the other side of this page</u>. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

File the amended report within thirty (30) days with:

Secretary of State 120 SW 10th Memorial Hall Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

Carol E. Williams Executive Director

- 1. The July 25, 2016 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The July 25, 2016 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.
- 2. Schedule A (Contributions and Other Receipts) of the July 25, 2016 report lists a \$500 contribution being received on 6-24-16 from the Kansas Chamber of Commerce PAC. K.S.A. 25-4153a states that no registered lobbyist, political committee or person, other than an individual, shall make a contribution and no legislator or candidate for the legislature shall accept a contribution from a lobbyist, political committee or person, other than an individual after January 1 and prior to sine die adjournment of the regular session of the legislature or any other time the legislature is in session. This contribution was received during the time period of the special session which was June 23 and June 24, 2016. This contribution should not have been accepted and should be returned. The return of this contribution must be shown on Schedule C (Expenditures and Other Disbursements) of the October 31, 2016 report.
- 3. Schedule A (Contributions and Other Receipts) and Schedule B (In-Kind Contributions) of the July 25, 2016 report shows \$727.95 in loans from the candidate. All loans should **also** be listed on Schedule D (Other Transactions) until such time as they are repaid or forgiven. An amended report must be filed with these loans listed on Schedule D and the corresponding entry on Line 7 of the cover summary page.
- 4. K.S.A. 25-4146(b) states, "No contribution or other receipt shall be received or expenditure made, by or on behalf of a candidate, except payment of a filing fee: (1) until such candidate appoints a treasurer and makes the report required to the Secretary of State..." The following contribution was received prior to the date the candidate's Appointment of Treasurer or Candidate Committee form that was filed on February 24, 2016:

<u>Date</u> <u>Name</u> <u>Amount</u>

2-10-16 Ron Ellis \$100.00

This contribution should not have been accepted. No amendment to the July 25, 2016 report is necessary for this error. This is being provided for informational purposes.

If you have any questions, feel free to contact this office.