STATE OF KANSAS

Administration of
Campaign Finance,
Conflict of Interest
& Lobbying Laws

109 West 9th Street, Suite 504
Topeka, Kansas 66612
(785) 296-4219 (phone)
(785) 296-2548 (fax)

GOVERNMENTAL ETHICS COMMISSION

www.kansas.gov/ethics

October 27, 2010

Graham Rolston
Treasurer for Jeffrey Caldwell II
1315 W 19th Terrace
Lawrence, KS 66046

NOTIFICATION OF FAILURE TO FILE
THE OCTOBER 25, 2010 RECEIPTS AND EXPENDITURES REPORT

In checking with the Secretary of State and the candidate's County Clerk/County Election office, we have been informed that you have failed to file the **October 25, 2010** Receipts and Expenditures Report with both offices as required by law. Such report, a blank copy of which is enclosed for your use, must be completed and filed within five (5) days from the date of receipt of this notice.

As provided by K.S.A. 25-4152, you have until **November 11, 2010,** which is 15 days from the date of this notice, to comply with the reporting requirements before a $10 per day civil penalty is imposed for each day the required document remains unfiled. No civil penalty shall exceed three hundred dollars ($300). Also, there are criminal penalties for intentionally failing to file. The intentional failure to file the required report within five (5) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures until the required report has been filed.

File the required report within five (5) days with the Secretary of State <u>and</u> your candidate's County Clerk/County Election Officer. As provided by law, a copy of this notice has been made a part of your candidate's record in the Secretary of State's Office and your candidate's County Clerk/County Election office.

Additional information and assistance may be obtained by writing or calling this office.

Sincerely,

Carol E. Williams
Executive Director

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Topeka, Kansas 66612
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October 27, 2010

Jeffrey Caldwell II
Candidate for State Office
PO Box 25654
Overland Park, KS 66225

NOTIFICATION OF FAILURE TO FILE
THE OCTOBER 25, 2010 RECEIPTS AND EXPENDITURES REPORT

In checking with the Secretary of State and your County Clerk/County Election office, we have been informed that your campaign has failed to file the **October 25, 2010** Receipts and Expenditures Report with both offices as required by law. Such report, a blank copy of which is enclosed for your use, must be completed and filed within five (5) days from the date of receipt of this notice. K.S.A. 25-4152 states the person failing to file any report and the candidate appointing any such person shall be responsible for the filing of such report.

As provided by K.S.A. 25-4152, you have until **November 11, 2010**, which is 15 days from the date of this notice, to comply with the reporting requirements before a $10 per day civil penalty is imposed for each day the required document remains unfiled. No civil penalty shall exceed three hundred dollars ($300). Also, there are criminal penalties for intentionally failing to file. The intentional failure to file the required report within five (5) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures until the required report has been filed.

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Sincerely,

Carol E. Williams
Executive Director