



**GOVERNMENTAL ETHICS COMMISSION**

[www.kansas.gov/ethics](http://www.kansas.gov/ethics)

October 6, 2014

Eileen Umbehr  
Treasurer for Keen Umbehr  
29268 Old K18 Road  
Alma, KS 66401

Notification of Material Error or Omission  
In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due July 28, 2014  
(Covering the Period January 1, 2014 through July 24, 2014)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) listed on the other side of this page. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

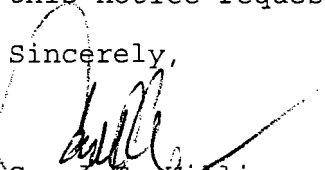
File the amended report within thirty (30) days with:

Secretary of State  
120 SW 10<sup>th</sup>  
Memorial Hall  
Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

  
Carol E. Williams  
Executive Director

1. The July 28, 2014 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The July 28, 2014 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

2. Schedule A (Contributions and Other Receipts) of the July 28, 2014 report shows \$17,500.00 in loans from Keen Umbehr. All loans should also be listed on Schedule D (Other Transactions) until such time as they are repaid or forgiven. An amended report must be filed which includes Schedule D and the corresponding entry on Line 7 of the cover summary page.

3. Schedule D (Other Transactions) of the January 10, 2014 Receipts and Expenditures Report lists a loan payable totaling \$1000 to Keen Umbehr. This loan is not carried forward as a loan payable to the July 28, 2014 Receipts and Expenditures Report, nor is it disclosed on Schedule C (Expenditures and Other Disbursements). All loans should be listed on Schedule D (Other Transactions) until such time as they are repaid or forgiven. An amended July 28, 2014 report must be filed disclosing the disposition of this debt.

4. Schedule A (Contributions and Other Receipts) indicates your campaign accepted the following cash contribution over \$100:

<u>Date</u>	<u>Name</u>	<u>Amount</u>
1-5-14	John Kostner	\$200

K.S.A. 25-4153(i) states, "No person shall make any contribution or contributions to any candidate or the candidate committee of any candidate in the form of money or currency of the United States which in the aggregate exceeds \$100 for any one primary or general election, and no candidate or candidate committee of any candidate shall accept any contribution or contributions in the form of money or currency of the United States which in the aggregate exceeds \$100 from any one person for any one primary or general election."

The cash contribution listed above should not have been accepted by the campaign. In the future, you must be certain that all contributions in excess of \$100 are made by check, cashier's check or money order.

If you have any questions, feel free to contact this office.