Administration of Campaign Finance, Conflict of Interest & Lobbying Laws



901 S. Kansas Avenue Topeka, Kansas 66612 (785) 296-4219 (phone) (785) 296-2548 (fax)

GOVERNMENTAL ETHICS COMMISSION

https://ethics.kansas.gov

Paul Lehmkuhler Treasurer for Maynard Estes 2206 Howell Avenue Dodge City, KS 67801

June 23, 2020

Notification of Material Error or Omission In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due January 10, 2020 (Covering the Period January 1, 2019 thru December 31, 2019)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) <u>listed on the other side of this page</u>. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice.

File the amended report within thirty (30) days with:

Secretary of State 120 SW 10th Memorial Hall Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

Karina Renna

State Campaign Finance Coordinator

- 1. The beginning balance of \$25,098.09 listed on the January 10, 2020 report is not the same as the ending balance of \$22,451.57 listed on the January 10, 2019 report. This difference of \$2,646.52 must be explained in an amended report.
- 2. Schedule A (Contributions and Other Receipts) of the January 10, 2020 report lists a \$1000 contribution being received on 1-10-19 from LRICo Services LLC. K.S.A. 25-4153a states that no registered lobbyist, political committee or person, other than an individual, shall make a contribution and no legislator or candidate for the legislature shall accept a contribution from a lobbyist, political committee or person, other than an individual after January 1 and prior to sine die adjournment of the regular session of the legislature or any other time the legislature is in session. This contribution should not have been accepted and should be returned. The return of this contribution must be shown on Schedule C (Expenditures and Other Disbursements) of the July 27, 2020 report.
- 3. K.S.A. 25-4153 (a)(1) states, "The aggregate amount contributed to a candidate and such candidate's committee . . . shall not exceed the following: for the office of state senator, . . . \$1000 for each primary election . . . and an equal amount for each general election." The Estes campaign reported receiving the following contributions:

<u>Date</u>	<u>Contributor</u>	Amount
10-02-17 12-31-18 07-29-19	Centurytel, Inc. Centurytel, Inc. Centurytel, Inc.	\$350 \$350 \$350 <i>\$1050</i>
01-10-19 08-05-19	LRICO Services, LLC LRICO Services, LLC	\$1000 \$1000 \$2000

All of these contributions were received during the primary election period. Pursuant to K.S.A. 25-4153, the campaign accepted contributions in excess of the legal limit amounting to \$1050. We would suggest that these contributions be refunded immediately. The return of these contributions should be noted on Schedule C (Expenditures and Other Disbursements) of the July 27, 2020 Receipts and Expenditures Report.

4. According to the reports filed with the Secretary of State, the following PAC's report making the contributions to the Estes campaign:

<u>Date</u>	<u>Contributor</u>	Amount
12-19-19	Humane Society Legislative Fund Of Kansas PAC	\$100
12-09-19	Kansas Beer Wholesalers PAC	\$950
12-16-19	Kansas Beverage Association PAC	\$500
12-26-19	Kansas Optometric PAC	\$500
12-18-19	Kansas Physical Therapy Association PAC	\$250
12-05-19	Kansas Rural Independent Telecom PAC	\$500

These contributions were not reported by your campaign. If these contributions were received, they must be reported on Schedule A (Contributions and Other Receipts) on the January 10, 2020 report. These errors will cause the cash balance on the front page summary sheet to be incorrect. Therefore, an amended front page summary sheet must also be filed. If the campaign did not receive these contributions, please note this fact in a letter to the Commission.

5. The January 10, 2020 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The January 10, 2020 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

If you have any questions, feel free to contact this office.