



**GOVERNMENTAL ETHICS COMMISSION**

<https://ethics.kansas.gov>

James Marc Cottrell  
Treasurer for Adrien Worf  
2109 Grandview East  
Garden City, KS 67846

October 26, 2016

Notification of Material Error or Omission  
In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due July 25, 2016  
(Covering the Period January 1, 2016 thru July 21, 2016)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) listed on the other side of this page. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

File the amended report within thirty (30) days with:

Secretary of State  
120 SW 10<sup>th</sup>  
Memorial Hall  
Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

A handwritten signature in dark ink, appearing to read "Carol Williams", is written over a horizontal line.

Carol Williams  
Executive Director

1. The July 25, 2016 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The July 25, 2016 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

2. K.S.A. 25-4146(b) states, "No contribution or other receipt shall be received or expenditure made, by or on behalf of a candidate, except payment of a filing fee: (1) until such candidate appoints a treasurer and makes the report required to the Secretary of State..." The following contribution was received prior to the date the candidate's Appointment of Treasurer or Candidate Committee form was filed on May 28, 2016:

<u>Date</u>	<u>Name</u>	<u>Amount</u>
5-20-16	Legislative Victory Fund	\$500

This contribution should not have been accepted. No amendment to the July 25, 2016 report is necessary for this error. This notice is being provided for informational purposes.

3. Schedule A (Contributions and Other Receipts) indicates your campaign accepted the following cash contribution over \$100:

<u>Date</u>	<u>Name</u>	<u>Amount</u>
6-6-16	Finney County Central Comm.	\$250

K.S.A. 25-4153(i) states, "No person shall make any contribution or contributions to any candidate or the candidate committee of any candidate in the form of money or currency of the United States which in the aggregate exceeds \$100 for any one primary or general election, and no candidate or candidate committee of any candidate shall accept any contribution or contributions in the form of money or currency of the United States which in the aggregate exceeds \$100 from any one person for any one primary or general election."

The cash contribution listed above should not have been accepted by the campaign. In the future, you must be certain that all contributions in excess of \$100 are made by check, cashier's check or money order.

4. Schedule A (Contributions and Other Receipts) of the July 25, 2016 report lists a \$500 contribution being received on 5-20-16

from the Legislative Victory Fund. K.S.A. 25-4153a states that no registered lobbyist, political committee or person, other than an individual, shall make a contribution and no legislator or candidate for the legislature shall accept a contribution from a lobbyist, political committee or person, other than an individual after January 1 and prior to sine die adjournment of the regular session of the legislature or any other time the legislature is in session. This contribution should not have been accepted and should be returned. The return of this contribution must be shown on Schedule C (Expenditures and Other Disbursements) of the October 31, 2016 report.

If you have any questions, feel free to contact this office.



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James Marc Cottrell  
Treasurer for Adrien Worf  
2109 Grandview East  
Garden City, KS 67846

October 13, 2016

Notification of Material Error or Omission  
In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due July 25, 2016  
(Covering the Period January 1, 2016 thru July 21, 2016)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) listed on the other side of this page. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.


File the amended report within thirty (30) days with:

Secretary of State  
120 SW 10<sup>th</sup>  
Memorial Hall  
Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

  
Carol E. Williams  
Executive Director

1. The July 25, 2016 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The July 25, 2016 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

2. K.S.A. 25-4146(b) states, "No contribution or other receipt shall be received or expenditure made, by or on behalf of a candidate, except payment of a filing fee: (1) until such candidate appoints a treasurer and makes the report required to the Secretary of State..." The following contribution was received prior to the date the candidate's Appointment of Treasurer or Candidate Committee form was filed on May 28, 2016:

<u>Date</u>	<u>Name</u>	<u>Amount</u>
5-20-16	Legislative Victory Fund	\$500

This contribution should not have been accepted. No amendment to the July 25, 2016 report is necessary for this error. This notice is being provided for informational purposes.

3. Schedule A (Contributions and Other Receipts) indicates your campaign accepted the following cash contribution over \$100:

<u>Date</u>	<u>Name</u>	<u>Amount</u>
6-6-16	Finney County Central Comm.	\$250

K.S.A. 25-4153(i) states, "No person shall make any contribution or contributions to any candidate or the candidate committee of any candidate in the form of money or currency of the United States which in the aggregate exceeds \$100 for any one primary or general election, and no candidate or candidate committee of any candidate shall accept any contribution or contributions in the form of money or currency of the United States which in the aggregate exceeds \$100 from any one person for any one primary or general election."

The cash contribution listed above should not have been accepted by the campaign. In the future, you must be certain that all contributions in excess of \$100 are made by check, cashier's check or money order.

If you have any questions, feel free to contact this office.