

STATE OF KANSAS

Administration of  
Campaign Finance,  
Conflict of Interest  
& Lobbying Laws



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Topeka, Kansas 66612  
(785) 296-4219 (phone)  
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**GOVERNMENTAL ETHICS COMMISSION**

<https://ethics.kansas.gov>

Judy Pierce  
Treasurer for Keith Humphrey  
5328 S Stoneborough Court  
Wichita, KS 67217

March 29, 2017

Notification of Material Error or Omission  
In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due October 31, 2016  
(Covering the Period July 22, 2016 thru October 27, 2016)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) listed on the other side of this page. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice.

File the amended report within thirty (30) days with:

Secretary of State  
120 SW 10<sup>th</sup>  
Memorial Hall  
Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

  
Carol H. Williams  
Executive Director

1. K.S.A. 25-4153 (a)(1) states, "The aggregate amount contributed to a candidate and such candidate's committee . . . shall not exceed the following: for the office of state senator, . . . \$1000 for each primary election . . . and an equal amount for each general election." The Humphrey campaign reported receiving a \$1000 contribution on 10-4-16 from the International Brotherhood of Electrical Workers PAC, and an additional \$750 on 10-27-16, for a total of \$1750. Although you distinguish these two contributions as being from Local #304 and Local #271, these contributions are listed on the verified statement filed by the International Brotherhood of Electrical Workers as one entity, therefore, it appears the campaign accepted a contribution in excess of the legal limit amounting to \$750 during the general election period.

We would suggest that this contribution be refunded immediately. Since the campaign account is closed, it will be necessary for the candidate to reimburse the International Brotherhood of Electrical Workers personally. A copy of the cancelled check must be submitted to the Commission.

2. According to the reports filed with the Secretary of State, the Kansas AFL-CIO COPE Fund reports making a \$1000 contribution to Keith Humphrey on 7-15-16. This contribution was not reported by your campaign. If this contribution was received, it must be reported on Schedule A (Contributions and Other Receipts) on the October 31, 2016 report. This error will cause the cash balance on the front page summary sheet to be incorrect. Therefore, an amended front page summary sheet must also be filed. If the campaign did not receive this contribution, please note this fact in a letter to the Commission.

3. K.S.A. 25-4148 (b) (7) requires that when an expenditure is made by payment to an advertising agency, public relations firm or political consultants for disbursement to vendors, the report of such expenditure shall show in detail the name of each such vendor and the amount, date and purpose of the payment to each. Expenditures amounting to \$52,000.00 were made to Ad Astra Group for "Ads-Radio/TV". Please submit the required supplemental information as an amendment to Schedule C on the October 31, 2016 Receipts and Expenditures Report.

If you have any questions, feel free to contact this office.