Administration of Campaign Finance, Conflict of Interest & Lobbying Laws



109 West 9th Street, Suite 504 Topeka, Kansas 66612 (785) 296-4219 (phone) (785) 296-2548 (fax)

GOVERNMENTAL ETHICS COMMISSION

www.kansas.gov/ethics

Alex Craddock Treasurer for Pat Pettey 3009 So 53rd Kansas City, KS 66106 June 23, 2016

Notification of Material Error or Omission In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due January 10, 2016 (Covering the Period January 1, 2015 thru December 31, 2015)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) <u>listed on the other side of this page</u>. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

File the amended report within thirty (30) days with:

Secretary of State 120 SW 10th Memorial Hall Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

Carol E. Williams
Executive Director

1. K.S.A. 25-4153 (a)(1) states, "The aggregate amount contributed to a candidate and such candidate's committee . . . shall not exceed the following: for the office of state senator, . . \$1000 for each primary election . . . and an equal amount for each general election." The Pettey campaign reported receiving a \$100 contribution on 9-3-14 from the Kansas Contractors Association PAC, and an additional \$1000 on 10-27-15, for a total of \$1100. Both of these contributions were received during the primary election period.

Pursuant to K.S.A. 25-4153, the campaign accepted a contribution in excess of the legal limit amounting to \$100. We would suggest that this contribution be refunded immediately. The return of this contribution should be noted on Schedule C (Expenditures and Other Disbursements) of the July 25, 2016 Receipts and Expenditures Report.

- 2. Schedule C (Expenditures and Other Disbursements) lists expenditures being made to Pat Pettey during the reporting period in the amount of \$909.94 for reimbursement of expenses. It will be necessary for you to provide a more detailed description of the types of expenses which were reimbursed, i.e., mileage, meals, postage, supplies, reimbursement for radio, newspaper, TV advertising, etc. If any one expense was over \$50 it must be itemized. This information can be disclosed in a letter of amendment to the January 10, 2016 report.
- 3. The January 10, 2016 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The January 10, 2016 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

If you have any questions, feel free to contact this office.