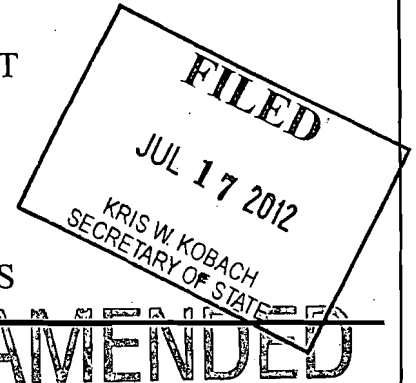


KANSAS GOVERNMENTAL ETHICS COMMISSION

RECEIPTS AND EXPENDITURES REPORT OF A CANDIDATE FOR STATE OFFICE

January 10, 2012

FILE WITH SECRETARY OF STATE
SEE REVERSE SIDE FOR INSTRUCTIONS



A. Name of Candidate: Dwayne Umbarger

Address: 1585 70th Road

City and Zip Code: Thayer 66776

County: Neosho

Office Sought: State Senate

District: 14

B. Check **only** if appropriate: ☒ Amended Filing ☐ Termination Report

C. Summary (covering the period from January 1, 2011 through December 31, 2011)

1. Cash on hand at beginning of period	<u>\$39,992.72</u>
2. Total Contributions and Other Receipts (Use Schedule A)	<u>24,261.13</u>
3. Cash available this period (Add Lines 1 and 2)	<u>64,253.85</u>
4. Total Expenditures and Other Disbursements (Use Schedule C)	<u>16,921.43</u>
5. Cash on hand at close of period (Subtract Line 4 from 3)	<u>47,332.42</u>
6. In-Kind Contributions (Use Schedule B)	<u>344.94</u>
7. Other Transactions (Use Schedule D)	<u>-0-</u>

D. "I declare that this report, including any accompanying schedules and statements, has been examined by me and to the best of my knowledge and belief is true, correct and complete. I understand that the intentional failure to file this document or intentionally filing a false document is a class A misdemeanor."

7-15-12
Date

[Signature]
Signature of Candidate or Treasurer

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger

(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
1/22/11	Taylor Family Newspapers 115 N. Labette, P. O. Box 156 Cherryvale, KS 67335	Subscriptions	\$36.50
2/22/11	St. Patrick's Parade c/o Jean Johnson, 1703 Chess Parsons, KS 67357	Donation	\$50.00
3/11/11	Colleen Logan 2900 SW Gainsboro Road Topeka, KS 66614-4412	Legislative Pictures	\$150.00
3/11/11	Peck for State Representative P. O. Box 277 Tyro, Kansas 67364	To reimburse Rep. Peck for a one-third share of lunch purchased for the Coffeyville High School debate team.	\$69.55
3/14/11	J & K Awards 1236 N. Kansas Ave. Topeka, KS 66608	Legislative Pictures	\$34.86
3/23/11	Colleen Logan 2900 SW Gainsboro Road Topeka, KS 66614-4412	Legislative Pictures	\$15.00
3/23/11	Toni Beck 1510 Lakeside Dr. Topeka, KS 66604	Legislative Pictures	\$105.14
3/29/11	Sams Club 1401 SW Wanamaker Road Topeka, KS 66604	External computer hard drive and office supplies.	\$304.46
3/29/11	Kansas Legislative Admin. Services 300 SW 10th Ave., Ste. 551-S Topeka, KS 66612-1504	Legislative Excess Postage	\$633.71
3/29/11	David Heinemann 3826 SW Cambridge Ave. Topeka, KS 66610	Legislative Mugs	\$240.00
3/29/11	Congregation of Saint Joseph 3009 Grand Rte St. New Orleans, LA 70125	Donation	\$100.00
Subtotal This Page			\$1,739.22

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger
(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
3/31/11	Toni Beck 1510 Lakeside Dr. Topeka, KS 66614-4412	Legislative Pictures	\$46.85
3/29/11	Jane Wentz 3830 Nemaha Road Perry, Kansas 66073	Legislative Staff Work	\$100.00
4/29/11	Chanute Area Chamber of Commerce P. O. Box 747 Chanute, KS 66720	2011 Membership Dues	\$125.00
5/5/11	Kansas Legislative Admin. Services 300 SW 10th Ave., Ste. 551-S Topeka, KS 66612-1504	Newsletter Postage	\$626.22
5/11/11	Kansas Legislative Admin. Services 300 SW 10th Ave., Ste. 551-S Topeka, KS 66612-1504	Legislative Newsletter Copies	\$262.34
5/10/11	Kansas Historical Society 300 W. 10th Street Topeka, KS 66612	Legislative Books	\$17.39
5/12/11	Jane Wentz 3830 Nemaha Road Perry, Kansas 66073	Legislative Staff Work	\$211.20
6/6/11	Dwayne Umbarger 1585 70th Road Thayer, KS 66776	Mileage, \$1,069.00. Constant Contact, \$150.00. Norton Antivirus, \$182.38. Internet Service, \$149.75. Cell Phone, \$844.91.	\$2,396.04
5/25/11	Best Buy 1600 SW Wanamaker Road Topeka, KS 66604	Ipad	\$1,051.32
6/20/11	Galesburg Relief Fund 6820 Irving Road Galesburg, KS 66740	Donation	\$150.00
6/22/11	Friends of Tri-Valley Foundation, Inc. 3740 S. Santa Fe Chanute, KS 66720	Membershp	\$100.00
Subtotal This Page			\$5,086.36

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger
(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
6/22/11	Friends of Tri-Valley Foundation, Inc. 3740 S. Santa Fe Chanute, KS 66720	Sponsor for Fundraiser	\$500.00
7/7/11	Jane Wentz 3830 Nemaha Road Perry, Kansas 66073	Legislative Staff Work	\$100.00
6/9/11	Mike Giager P. O. Box 518 Parsons, KS 67357	Donation	\$30.00
7/12/11	Friends of Tri-Valley Foundation, Inc. 3740 S. Santa Fe Chanute, KS 66720	Donation - Chair	\$180.00
7/31/11	Fire Escape Coffeehouse 126 West Main Chanute, KS 66720	Donation	\$150.00
8/8/11	G&W Foods 517 W. Main Chanute, KS 66720	Thayer Ice Cream Social Fundraiser	\$120.90
7/7/11	Dept. of Corrections 900 SW Jackson St., 4th Floor Topeka, KS 66612	Resolution Frames	\$400.00
7/29/11	University of Kansas Endowment 230 Strong Hall, 1450 Jayhawk Blvd. Lawrence, KS 66045	Repayment for overage of gift to legislator.	\$100.00
8/4/11	SEK Inc. P. O. Box 1262 Pittsburg, KS 66762	Donation for SEK Business Conference.	\$134.00
8/17/11	Save A Lot 1406 W. Main Chanute, KS 66720	Thayer Ice Cream Social Fundraiser	\$107.04
8/12/11	G&W Foods 517 W. Main Chanute, KS 66720	Thayer Ice Cream Social Fundraiser	\$12.96
Subtotal This Page			\$1,834.90

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger
(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
8/13/11	G&W Foods 517 W. Main Chanute, KS 66720	Thayer Ice Cream Social Fundraiser	\$8.64
8/15/11	G& W Foods 517 W. Main Chanute, KS 66720	Thayer Ice Cream Social Fundraiser	\$18.75
8/25/11	MACA P. O. Box 588 Independence, KS 67301	Sponsor Golf Tournament	\$300.00
8/30/11	Thayer Booster Club 103 W. Neosho Thayer, KS 66776	Sponsorship	\$100.00
9/2/11	Joe Fund 4310 NW Menoken Rd. Topeka, KS 66618	K-State football item for Tri-Valley Foundation auction.	\$39.34
9/25/11	Foundation for Cherokee County c/o Baxter Springs Cham. of Comm., 1004 Military Avenue, Baxter Springs, KS 66713	Donation	\$60.00
9/22/11	Baxter Springs Chamber of Commerce 1004 Military Avenue Baxter Springs, KS 66713	Donation	\$100.00
9/24/11	Wal-Mart 2700 S. Santa Fe Chanute, KS 66720	Computer Ink and Paper	\$89.57
9/28/11	T-Town P. O. Box 15 Thayer, KS 66776	Campaign Storage	\$270.00
10/1/11	MS Society 7611 State Line, Suite 100 Kansas City, MO 64114	Donation	\$500.00
10/5/11	St. Patrick Catholic Church 1807 Stevens Parsons, KS 67357	Contribution to St. Patrick Catholic School	\$500.00
Subtotal This Page			\$1,986.30

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger
(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
	Page 13 of 15 on the original report dated 1-10-2012 should have been omitted as all three expenditures listed on that page were	also included on page 14 of 15.	
Subtotal This Page			\$0.00

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger
(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
8/15/11	Sam's Club 3536 Hammons Blvd. Joplin, MO 64804	Supplies for ice cream fundraiser.	\$280.27
9/6/11	Bill Me Later (www.billmelater.com) P. O. Box 2394 Omaha, NE 68103	Supplies for ice cream fundraiser.	\$63.68
9/6/11	Wal-Mart 2700 S. Santa Fe Chanute, KS 66720	Supplies for ice cream fundraiser.	\$154.47
12/6/11	Thayer PTO 300 W. Wilson Street Thayer, KS 66776	Christmas donation	\$100.00
12/12/11	U. S. Post Office 102 North Lincoln Chanute, KS 66720	Stamps	\$264.00
12/30/11	Bank of Commerce P. O. Box 538 Chanute, KS 66720	Check printing charge	\$6.51
	[Remainder of this page intentionally blank.]		
Subtotal This Page			\$868.93

SCHEDULE C
EXPENDITURES AND OTHER DISBURSEMENTS

Dwayne Umbarger
(Name of Candidate)

Date	Name and Address	Purpose of Expenditure or Disbursement	Amount
10/1/11	Labette Health Foundation 1902 S. US Hwy 59 Parsons, KS 67357	Donation	\$400.00
10/29/11	St. Patrick's Catholic Church 1807 Stevens Parsons, KS 67357	Donation	\$20.00
10/29/11	St. Patrick's Catholic Church 1807 Stevens Parsons, KS 67357	Donation at Fundraiser	\$525.00
11/12/11	Thayer PTO 300 W. Wilson Street Thayer, KS 66776	Donation	\$250.00
12/30/11	Dwayne Umbarger 1585 70th Road Thayer, KS 66776	Mileage \$1,514.19. Constant Contact, \$210.00. Internet Service, \$209.65. Cell Phone, \$1,231.13.	\$3,164.97
12/29/11	The Record Publications 14690 Parallel Road Basehor, KS 66007	Campaign Fundraising Mailing	\$1,045.75
Subtotal This Page			\$5,405.72

Complete if last page of Schedule c

Total Itemized Expenditures This Period	\$16,921.43
Total Unitemized Expenditures of \$50 or less	
TOTAL EXPENDITURES & OTHER DISBURSEMENTS THIS PERIOD (to line 4 of Summary)	\$16,921.43

KANSAS GOVERNMENTAL ETHICS COMMISSION**RECEIPTS AND EXPENDITURES REPORT
OF A CANDIDATE FOR STATE OFFICE**

RECEIVED

January 10, 2012

FILE WITH SECRETARY OF STATE
SEE REVERSE SIDE FOR INSTRUCTIONS

JAN 10 2012

KANSAS GOVERNMENTAL ETHICS COMMISSION

A. Name of Candidate: Dwayne Umbarger

AMENDED

Address: 1585 70th RoadCity and Zip Code: Thayer 66776County: NeoshoOffice Sought: State SenateDistrict: 14B. Check only if appropriate: ☒ Amended Filing ☐ Termination Report

C. Summary (covering the period from January 1, 2011 through December 31, 2011)

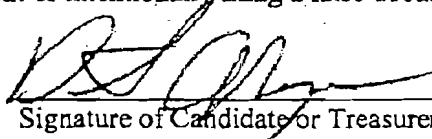
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5. Cash on hand at close of period (Subtract Line 4 from 3)	47,332.42
6. In-Kind Contributions (Use Schedule B)	344.94
7. Other Transactions (Use Schedule D)	-0-

D. "I declare that this report, including any accompanying schedules and statements, has been examined by me and to the best of my knowledge and belief is true, correct and complete. I understand that the intentional failure to file this document or intentionally filing a false document is a class A misdemeanor."

Date

1-10-12

Signature of Candidate or Treasurer



**SCHEDULE B
IN-KIND CONTRIBUTIONS**

Dwayne Umbarger

(Name of Candidate)

Date	Name and Address of Contributor	List Occupation & Industry for Those Giving an In-Kind of More Than \$150	Description of In-Kind Contribution	Value of In-Kind Contribution
11/29/11	Gaches Braden & Associates 825 S. Kansas Ave., Ste. 500 Topeka, KS 66612	Attorney, Governmental Relations Lobbyist	Hosted fundraiser for Sen. Umbarger.	\$344.94
				\$344.94

Complete if last page of Schedule B

Total Itemized (over \$100) In-Kind Contributions	\$344.94
Total Unitemized (\$100 or less) In-Kind Contributions	
TOTAL IN-KIND CONTRIBUTIONS THIS PERIOD (to include summary)	\$344.94