



GOVERNMENTAL ETHICS COMMISSION

<https://ethics.kansas.gov>

Calvin Pottberg
Treasurer for David Baker
1257 Miller Drive
Junction City, KS 66441

August 29, 2016

Notification of Material Error or Omission
In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due July 25, 2016
(Covering the Period January 1, 2016 thru July 21, 2016)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) listed on the other side of this page. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

File the amended report within thirty (30) days with:

Secretary of State
120 SW 10th
Memorial Hall
Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

A handwritten signature in cursive script that reads "Carol E. Williams".

Carol E. Williams
Executive Director

1. Schedule A (Contributions and Other Receipts) of the July 25, 2016 report shows a \$10,000.00 loan from the candidate. All loans should also be listed on Schedule D (Other Transactions) until such time as they are repaid or forgiven. An amended report must be filed which includes Schedule D and the corresponding entry on Line 7 of the cover summary page.

2. The July 25, 2016 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The July 25, 2016 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

3. K.S.A. 25-4146(b) states, "No contribution or other receipt shall be received or expenditure made, by or on behalf of a candidate, except payment of a filing fee: (1) until such candidate appoints a treasurer and makes the report required to the Secretary of State..." The following contribution was received prior to the date the candidate's Appointment of Treasurer or Candidate Committee form was filed on June 14, 2016:

<u>Date</u>	<u>Name</u>	<u>Amount</u>
5-31-16	David Baker	\$10,000.00

This contribution should not have been accepted. No amendment to the July 25, 2016 report is necessary for this error. This notice is being provided for informational purposes.

If you have any questions, feel free to contact this office.