Administration of Campaign Finance, Conflict of Interest & Lobbying Laws



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GOVERNMENTAL ETHICS COMMISSION

www.kansas.gov/ethics

Robert Regnier Treasurer for Carolyn Koesten 3400 W 119th Street Leawood, KS 66209 April 28, 2016

Notification of Material Error or Omission In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due January 10, 2016 (Covering the Period January 1, 2015 thru December 31, 2015)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) <u>listed on the other side of this page</u>. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

File the amended report within thirty (30) days with:

Secretary of State 120 SW 10th Memorial Hall Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

Carol E Williams
Executive Director

- 1. Schedule A (Contributions and Other Receipts) of the January 10, 2016 report shows a \$3000 loan from Stewart Koesten. All loans should also be listed on Schedule D (Other Transactions) until such time as they are repaid or forgiven. An amended report must be filed which includes Schedule D and the corresponding entry on Line 7 of the cover summary page.
- 2. The January 10, 2016 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The January 10, 2016 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.
- 3. K.S.A. 25-4146(b) states, "No contribution or other receipt shall be received or expenditure made, by or on behalf of a candidate, except payment of a filing fee: (1) until such candidate appoints a treasurer and makes the report required to the Secretary of State..." All of the contributions were received prior to the date the candidate's Appointment of Treasurer or Candidate Committee form was filed with the Secretary of State on January 3, 2016.

These contributions should not have been accepted. No amendment to the January 10, 2016 report is necessary for this error. This notice is being provided for informational purposes.

If you have any questions, feel free to contact this office.