Administration of Campaign Finance, Conflict of Interest & Lobbying Laws



109 West 9th Street, Suite 504 Topeka, Kansas 66612 (785) 296-4219 (phone) (785) 296-2548 (fax)

GOVERNMENTAL ETHICS COMMISSION

www.kansas.gov/ethics

Eric Farnsworth Treasurer for Dennis Highberger 1022 New Jersey Lawrence, KS 66044 September 5, 2014

Notification of Material Error or Omission In A Receipts and Expenditures Report

RE: Receipts and Expenditures Report Due July 28, 2014 (Covering the Period January 1, 2014 thru July 24, 2014)

A review of your Receipts and Expenditures Report identified above indicates the material error(s) or omission(s) <u>listed on the other side of this page</u>. Such error(s) and omission(s) must be corrected within thirty (30) days of the date of this notice by filing an amended report.

File the amended report within thirty (30) days with:

Secretary of State 120 SW 10th Memorial Hall Topeka, KS 66612

As provided by law, a copy of this notice has been made a part of your record in the Secretary of State's Office. The intentional failure to file an amended report within thirty (30) days is a class A misdemeanor. In addition, you may not accept contributions or make expenditures following the end of the thirty (30) day period if the amended report has not been filed.

Additional information and assistance may be obtained by writing or calling this office. If after having contacted this office substantial issues remain unresolved, you may within ten (10) days of receipt of this notice request a hearing before the Commission.

Sincerely,

Executive Director

1. K.S.A. 25-4146(b) states, "No contribution or other receipt shall be received or expenditure made, by or on behalf of a candidate, except payment of a filing fee: (1) until such candidate appoints a treasurer and makes the report required to the Secretary of State..." Numerous contributions were received prior to the date the candidate's Appointment of Treasurer or Candidate Committee form was filed with the Secretary of State on February 20, 2014.

These contributions should not have been accepted. No amendment to the July 28, 2014 report is necessary for this error. This notice is being provided for informational purposes.

2. The July 28, 2014 report did not disclose a payment to the Secretary of State for the candidate's filing fee. If the filing fee was paid by the candidate from personal funds, it should be recorded on Schedule B (In-Kind Contributions) as an "in-kind" contribution from the candidate to the campaign. If the filing fee was paid from campaign funds, this expenditure should be listed on Schedule C (Expenditures & Other Disbursements). The July 28, 2014 report must be amended by showing the payment of the filing fee either on Schedule B or Schedule C and on the cover summary page.

If you have any questions, feel free to contact this office.